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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application No.	09/853,556
		Filing Date	May 11, 2001
		First Named Inventor	Laurence J. Newell
		Art Unit	2633
		Examiner Name	Jason Chan
Attorney Docket Number	20852-05134		
Sheet 1 of 1			

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
[Signature]	1	US-5,481,542	01-02-1996	Logston et al.
	2	US-5,612,806	03-18-1997	Su et al.

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[Signature]	3	JP09022038 A	01-21-1997	Kokusai Electric Co Ltd	
	4	JP09098155 A	04-08-1997	Matsushita Electric Ind Co Ltd.	

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[Signature]	5	Blumenthal, Daniel J. et al., "Fiber-Optic Links Supporting Baseband Data and Subcarrier-Multiplexed Control Channels and the Impact of MMIC Photonic/Microwave Interfaces," <i>IEEE Transactions on Microwave Theory and Techniques</i> (August 1997), Vol. 45, No. 8, pages 1443-1451.	
[Signature]	6	Liew, Soung C. et al., "A Broad-Band Optical Network Based on Hierarchical Multiplexing of Wavelengths and RF Subcarriers," <i>Journal of Lightwave Technology</i> (November 1989), Vol. 7, No. 11, pages 1825-1838.	

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Examiner Signature	[Signature]	Date Considered	9/20/03
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20852/05134/DOCS/1346554.1

FORM PTO-1449 (REV. 6-89)		U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office		Attorney's Docket No. 20852-05134		Serial No. 09/853,556	
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				Applicant Laurence J. Newell et al.		Filing Date May 11, 2001	
				Group Art Unit 2874			

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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20852/05134/DOCS/1349648.1

FORM PTO-1449
(REV. 6-89)U.S. DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAttorney's Docket No.
20852-05134Serial No.
09/853,556

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Applicant
Laurence J. Newell et al.Filing Date
May 11, 2001Group Art Unit
2874

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					Yes	No

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INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				Applicant Laurence J. Newell et al.		
<div style="border: 1px solid black; border-radius: 50%; padding: 10px; display: inline-block; text-align: center;"> RECEIVED OCT 02 2001 PATENT & TRADEMARK OFFICE </div>				Filing Date May 11, 2001		
U.S. PATENT DOCUMENTS						
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
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	Document Number	Date	Country	Class	Subclass	Translation
						Yes No
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				Filing Date May 11, 2001	Group Art Unit 2874

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